

# Spectral function, remainder in Weyl's law and resonances: a survey

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May 24, 2018

## **Spectral function, Weyl's law:**

- [JP]: GAFA, 17 (2007), 806-838.
- [JPT]: IMRN Volume 2007: article ID rnm142.
- [DJ]: Journal of Modern Dynamics 10 (2016), 339-352.

$X^n, n \geq 2$  - compact.  $\Delta$  - Laplacian. Spectrum:

$$\Delta \phi_j + \lambda_j \phi_j = 0, \quad 0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots$$

**Eigenvalue counting function:**

$$N(\lambda) = \#\{\sqrt{\lambda_j} \leq \lambda\}.$$

**Weyl's law:**  $N(\lambda) = C_n V \lambda^n + R(\lambda), \quad R(\lambda) = O(\lambda^{n-1})$

(Avakumovic, Levitan; Hörmander - more general operators).

$R(\lambda)$  - **remainder**. Duistermaat-Guillemin:  $R(\lambda) = o(\lambda^{n-1})$  if the set of periodic geodesics in  $T^1 X$  (unit sphere bundle of  $X$ ) has measure 0. On  $S^n$ , all geodesics are periodic (also on Zoll manifolds);  $R(\lambda) \asymp \lambda^{n-1}$  on  $S^n$ .

**Manifolds with boundary.** H. Weyl conjectured (1913) that for the Laplacian in a domain  $\Omega$  of dimension  $n$ , we have

$$N(\lambda) = c_0 \text{vol}_n(\Omega) \lambda^n \mp c_1 \text{vol}_{n-1}(\partial\Omega) \lambda^{n-1} + o\left(\lambda^{n-1}\right). \quad (1)$$

Here  $-$  corresponds to Dirichlet, and  $+$  to Neumann boundary conditions, and  $c_j$ -s depend only on  $n$ .

Courant (1920):  $R(\lambda) = O(\lambda^{n-1} \log \lambda)$ .

Seeley (1978):  $R(\lambda) = O(\lambda^{n-1})$ .

Ivrii, Melrose: proved H. Weyl's conjecture (1), provided *the set of periodic billiard trajectories in  $\Omega$  has measure 0*. Ivrii conjectured that this condition holds for *general* Euclidean domains; that conjecture is still open.

**Spectral function:** Let  $x, y \in X$ .

$$N_{x,y}(\lambda) = \sum_{\sqrt{\lambda_i} \leq \lambda} \phi_i(x) \phi_i(y).$$

If  $x = y$ , let  $N_{x,y}(\lambda) := N_x(\lambda)$ .

**Local Weyl's law:**

$$N_{x,y}(\lambda) = O(\lambda^{n-1}), \quad x \neq y;$$

$$N_x(\lambda) = C_n \lambda^n + R_x(\lambda), \quad R_x(\lambda) = O(\lambda^{n-1}); R_x(\lambda) - \text{local remainder.}$$

We shall discuss **lower** bounds for  $R(\lambda)$ ,  $R_x(\lambda)$  and  $N_{x,y}(\lambda)$ .

Notation:  $f_1(\lambda) = \Omega(f_2(\lambda))$ ,  $f_2 > 0$  iff

$\limsup_{\lambda \rightarrow \infty} |f_1(\lambda)|/f_2(\lambda) > 0$ . Equivalently,  $f_1(\lambda) \neq o(f_2(\lambda))$ .

## Lower bounds:

**Theorem 1**[JP] If  $x, y \in X$  are not conjugate along any shortest geodesic joining them, then

$$N_{x,y}(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}}\right).$$

**Theorem 2**[JP] If  $x \in X$  is not conjugate to itself along any shortest geodesic loop, then

$$R_x(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}}\right)$$

Other results in dimension  $n > 2$  involve heat invariants.

### Example: flat square 2-torus

$$\lambda_j = 4\pi^2(n_1^2 + n_2^2), \quad n_1, n_2 \in \mathbf{Z}$$

$$\phi_j(x) = e^{2\pi i(n_1 x_1 + n_2 x_2)}, \quad x = (x_1, x_2)$$

$$|\phi_j(x)| = 1 \Rightarrow N(\lambda) \equiv N_x(\lambda)$$

**Gauss circle problem:** estimate  $R(\lambda)$ .

Theorem 2  $\Rightarrow R(\lambda) = \Omega(\sqrt{\lambda})$  -

**Hardy–Landau bound.** Theorem 2 generalizes that bound for the *local* remainder.

**Soundararajan (2003):**  $R(\lambda) = \Omega\left(\frac{\sqrt{\lambda}(\log \lambda)^{\frac{1}{4}}(\log \log \lambda)^{\frac{3(2^{4/3}-1)}{4}}}{(\log \log \log \lambda)^{5/8}}\right)$ .

**Hardy's conjecture:**  $R(\lambda) \ll \lambda^{1/2+\epsilon} \forall \epsilon > 0$ .

**Huxley (2003):**  $R(\lambda) \ll \lambda^{\frac{131}{208}} (\log \lambda)^{2.26}$ .

**Negative curvature.** Suppose sectional curvature satisfies

$$-K_1^2 \leq K(\xi, \eta) \leq -K_2^2$$

**Theorem (Berard):**  $R_x(\lambda) = O(\lambda^{n-1} / \log \lambda)$

**Conjecture (Randol):** On a negatively-curved surface,  
 $R(\lambda) = O(\lambda^{\frac{1}{2}+\epsilon})$ . Randol proved an integrated (in  $\lambda$ ) version for  
 $N_{x,y}(\lambda)$ .

**Theorem (Karnaukh)** On a negatively curved surface

$$R_x(\lambda) = \Omega(\sqrt{\lambda})$$

+ logarithmic improvements discussed below. Karnaukh's results (unpublished 1996 Princeton Ph.D. thesis under the supervision of P. Sarnak) served as a starting point and a motivation for our work.



**Thermodynamic formalism:**  $G^t$  - geodesic flow on  $SX$ ,  
 $\xi \in SX$ . **Sinai-Ruelle-Bowen potential** (= “unstable jacobian”)  
 $\mathcal{H} : SX \rightarrow \mathbb{R}$ :

$$\mathcal{H}(\xi) = \left. \frac{d}{dt} \right|_{t=0} \ln \det dG^t|_{E_\xi^u}$$

where  $\dim E_\xi^u \subset T_\xi(SX)$  is the *unstable subspace* exponentially contracting for the *inverse* flow  $G^{-t}$  (flow-invariant,  $\dim = n - 1$ .)

**Topological pressure**  $P(f)$  of a Hölder function  $f : SX \rightarrow \mathbb{R}$  satisfies (Parry, Pollicott)

$$\sum_{l(\gamma) \leq T} l(\gamma) \exp \left[ \int_\gamma f(\gamma(s), \gamma'(s)) ds \right] \sim \frac{e^{P(f)T}}{P(f)}.$$

$\gamma$  - geodesic of length  $l(\gamma)$ .

## Examples:

(a):  $P(0) = h$  - **topological entropy** of  $G^t$ . Theorem (Margulis):

$$\#\{\gamma : l(\gamma) \leq T\} \sim e^{hT}/hT.$$

(b):  $P(-\mathcal{H}/2) \geq (n-1)K_2/2$

(c):  $P(-\mathcal{H}) = 0$ .

**Theorem 3[JP]** If  $X$  is negatively-curved then for any  $\delta > 0$  and  $x \neq y$

$$N_{x,y}(\lambda) = \Omega \left( \lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta} \right)$$

Since  $h \leq (n-1)K_1$ , we have  $P(-\mathcal{H}/2)/h \geq K_2/(2K_1) > 0$ .

**Theorem 4a**[JP]  $X$  - negatively-curved. For any  $\delta > 0$

$$R_x(\lambda) = \Omega \left( \lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta} \right), \quad n = 2, 3.$$

Results for  $n \geq 4$  : need to subtract heat invariants.

$$K = -1 \Rightarrow R_x(\lambda) = \Omega \left( \lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{1}{2} - \delta} \right)$$

**Karnaukh**,  $n = 2$ : estimate above + weaker estimates in variable negative curvature.

**Global results:**  $R(\lambda)$

**Randol,  $n = 2$ :**

$$K = -1 \Rightarrow R(\lambda) = \Omega \left( (\log \lambda)^{\frac{1}{2} - \delta} \right), \quad \forall \delta > 0.$$

**Theorem 4b[JPT]**  $X$  - negatively-curved surface ( $n = 2$ ). For any  $\delta > 0$

$$R(\lambda) = \Omega \left( (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta} \right).$$

**Conjecture (folklore).** On a **generic** negatively curved surface

$$R(\lambda) = O(\lambda^\epsilon) \quad \forall \epsilon > 0.$$

**Selberg, Hejhal:** On general compact hyperbolic surfaces,

$$R(\lambda) = \Omega \left( \frac{(\log \lambda)^{\frac{1}{2}}}{\sqrt{\log \log \lambda}} \right).$$

On compact arithmetic surfaces that correspond to quaternionic lattices  $R(\lambda) = \Omega \left( \frac{\sqrt{\lambda}}{\log \lambda} \right)$ .

**Reason:** *exponentially high* multiplicities in the length spectrum; generically,  $X$  has *simple* length spectrum.

[JN10]: similar ideas are used to obtain lower bounds for resonances of infinite area hyperbolic surfaces.

**Proof of Theorem 4b:** (about  $R(\lambda)$ ).  $X$ -compact, negatively-curved surface.

**Wave trace** on  $X$  (even part):

$$e(t) = \sum_{i=0}^{\infty} \cos(\sqrt{\lambda_i} t).$$

**Cut-off:**  $\chi(t, T) = (1 - \psi(t))\hat{\rho}\left(\frac{t}{T}\right)$ , where

- $\rho \in \mathcal{S}(\mathbb{R})$ ,  $\text{supp } \hat{\rho} \subset [-1, +1]$ ,  $\rho \geq 0$ , even;
- $\psi(t) \in C_0^\infty(\mathbb{R})$ ,  $\psi(t) \equiv 1$ ,  $t \in [-T_0, T_0]$ , and  $\psi(t) \equiv 0$ ,  $|t| \geq 2T_0$ .

In the sequel,  $T = T(\lambda) \rightarrow \infty$  as  $\lambda \rightarrow \infty$ . Let

$$\kappa(\lambda, T) = \frac{1}{T} \int_{-\infty}^{\infty} e(t)\chi(t, T) \cos(\lambda t) dt$$

## Key microlocal result:

**Proposition 9.** Let  $T = T(\lambda) \leq \epsilon \log \lambda$ . Then

$$\kappa(\lambda, T) = \sum_{l(\gamma) \leq T} \frac{l(\gamma)^{\#} \cos(\lambda l(\gamma)) \cdot \chi(l(\gamma), T)}{T \sqrt{|\det(I - \mathcal{P}_{\gamma})|}} + O(1)$$

where

$\gamma$  - closed geodesic;  $l(\gamma)$  - length;  $l(\gamma)^{\#}$ -primitive period;  $\mathcal{P}_{\gamma}$  - Poincaré map.

*Long-time* version of the “wave trace” formula of Duistermaat and Guillemin, microlocalized to shrinking neighborhoods of closed geodesics. Allows to isolate contribution from a **growing number** of closed geodesics with  $l(\gamma) \leq T(\lambda)$  to  $\kappa(\lambda, T)$  as  $\lambda, T(\lambda) \rightarrow \infty$ .

**Proof** - separation of closed geodesics in phase space + small-scale microlocalization near closed geodesics.

**Dynamical lemma:** Let  $X$  - compact, negatively curved manifold.  $\Omega(\gamma, r)$  - neighborhood of  $\gamma$  in  $S^*X$  of radius  $r$  (cylinder). There exist constants  $B > 0, a > 0$  s.t. for all closed geodesics on  $X$  with  $l(\gamma) \in [T - a, T]$ , the neighborhoods  $\Omega(\gamma, e^{-BT})$  are disjoint, provided  $T > T_0$ .

Radius  $r = e^{-BT}$  is exponentially small in  $T$ , since the number of closed geodesic grows exponentially.

**Remark:** on a dense set of negatively curved metrics, there is no exponential lower bound between lengths of different closed geodesics ([DJ]), so it is essential to work in phase space, where the Dynamical lemma provides the required separation. However, such separation holds for many *hyperbolic* manifolds, since the generators of  $\pi_1(X)$  are matrices with *algebraic* entries.



Our **local** estimates are not uniform in  $x, y$ . Need Proposition 9 to prove **global** estimates.

**Heat trace asymptotics:**

$$\sum_i e^{-\lambda_i t} \sim \frac{1}{(4\pi)^{n/2}} \sum_{j=0}^{\infty} a_j t^{j-\frac{n}{2}}, \quad t \rightarrow 0^+$$

**Local:**  $\mathcal{K}(t, x, x) = \sum_i e^{-\lambda_i t} \phi_i^2(x) \sim \frac{1}{(4\pi)^{n/2}} \sum_{j=0}^{\infty} a_j(x) t^{j-\frac{n}{2}},$

$a_j(x)$  - **local heat invariants**,  $a_j = \int_X a_j(x) dx$ .

$a_0(x) = 1$ ,  $a_0 = \text{vol}(X)$ .  $a_1(x) = \frac{\tau(x)}{6}$ ,  $\tau(x)$  - **scalar curvature**.

## “Heat kernel” estimates:

**Theorem 2b**[JP] If the scalar curvature

$$\tau(x) \neq 0, \implies R_x(\lambda) = \Omega(\lambda^{n-2}).$$

**Global:**[JPT] If  $\int_X \tau \neq 0, \implies R(\lambda) = \Omega(\lambda^{n-2}).$

**Remark:** if  $\tau(x) = 0$ , let  $k = k(x)$  be the first positive number such that the  $k$ -th local heat invariant  $a_k(x) \neq 0$ . If  $n - 2k(x) > 0$ , then

$$R_x(\lambda) = \Omega(\lambda^{n-2k(x)}).$$

Similar result holds for  $R(\lambda)$ : if  $\int a_k(x) dx \neq 0$  and  $n - 2k > 0$ , then

$$R(\lambda) = \Omega(\lambda^{n-2k}).$$

**Oscillatory error term:** subtract  $[(n-1)/2]$  terms coming from the heat trace:

$$N_x(\lambda) = \sum_{j=0}^{\lfloor \frac{n-1}{2} \rfloor} \frac{a_j(x)\lambda^{n-2j}}{(4\pi)^{\frac{n}{2}} \Gamma(\frac{n}{2}-j+1)} + R_x^{\text{osc}}(\lambda)$$

*Warning:* **not** an asymptotic expansion!

Physicists: subtract the “mean smooth part” of  $N_x(\lambda)$ .

**Theorem 2c**[JP] If  $x \in X$  is not conjugate to itself along any shortest geodesic loop, then

$$R_x^{\text{osc}}(\lambda) = \Omega(\lambda^{\frac{n-1}{2}})$$

**Theorem 4c**[JP]  $X$  - negatively-curved. For any  $\delta > 0$

$$R_x^{\text{osc}}(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta}\right), \text{ any } n.$$

If  $n \geq 4$  then Theorem 2b,  $R_x(\lambda) = \Omega(\lambda^{n-2})$  gives a better bound for  $R_x(\lambda)$ .

**Global Conjecture:**  $X$  - negatively-curved. For any  $\delta > 0$

$$R^{\text{osc}}(\lambda) = \Omega\left((\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta}\right), \text{ any } n.$$

## Almost periodic properties of the remainder:

Let  $N(R)$  be the eigenvalue counting function on  $\mathbb{T}^2$ .  
Heath-Brown in 1990s showed that the quantity

$$f(R) = (N(R) - \pi R^2)/\sqrt{R},$$

has a limiting distribution, i.e. that

$$\lim_{T \rightarrow \infty} \frac{\text{meas}\{R \in [T, 2T] : f(R) \in [a, b]\}}{T} = \int_a^b P(s) ds,$$

where  $P(s)$  is a.c. w.r. to the Lebesgue measure, and  
 $|P(s)| \ll \exp(-|s|^4)$  as  $|s| \rightarrow \infty$ .

Many related results for a shifted circle problem, as well as for the remainder term on surfaces of revolution, Zoll surfaces, and Liouville tori (metric has the form  $(f(x) + g(y))(dx^2 + dy^2)$ ) were obtained by Bleher, Dyson, Lebowitz, Minusov, Kosygin, Sinai et al. They showed that  $f(R)$  is a  $B^2$  almost periodic function in the sense of Besikovitch.

Almost periodic properties of the remainder may be a more general phenomenon. For e.g. negatively-curved manifolds, Aurich, Bolte and Steiner *conjectured* that a (suitably normalized) remainder has a limiting distribution that is Gaussian. There are very few rigorous results in this direction. The behavior of  $N(x, y, \lambda)/(\lambda^{(n-1)/2})$  was studied by Lapointe, Polterovich and Safarov. They showed the following. Let  $N_E(\lambda, d) = (2\pi)^{-n/2} d^{-n/2} \lambda^{n/2} J_n(d\lambda)$  be the “Euclidean” spectral function; here  $d = d(x, y)$ . Then there exists  $C_M$  s.t.

$$\int_M \left| \frac{N(x, y, \lambda) - N_E(\lambda, d(x, y))}{\lambda^{(n-1)/2}} \right|^2 dV(y) \leq C_M$$

Also, for any finite measure  $d\nu(\lambda)$  on  $\mathbb{R}$ , and for any fixed  $x \in M$ , there exists  $M_{x,\nu} \subset M$  of the full measure s.t.  $\forall y \in M_{x,\nu}$ ,

$$\int_0^\infty |N(x, y, \lambda)|^2 / \lambda^{n-1} d\nu(\lambda) < \infty.$$

Further related results were obtained by B. Khanin and Y. Canzani; they studied  $N(x, y, \lambda)$  near the “diagonal”  $x = y$ .

## Resonances

Joint work with F. Naud, [JNS17] also with L. Soares.

[JN10] *Lower bounds for resonances of infinite area Riemann surfaces*. Journal of Analysis and PDE, vol. 3 (2010), no. 2, 207-225.

[JN12] *On the critical line of convex co-compact hyperbolic surfaces*. GAFA vol. 22, no. 2 (2012), 352-368.

[JN16] *Resonances and density bounds for convex co-compact congruence subgroups of  $SL_2(\mathbb{Z})$* . Israel Jour. of Math. 2016, vol. 213 (2016), 443-473.

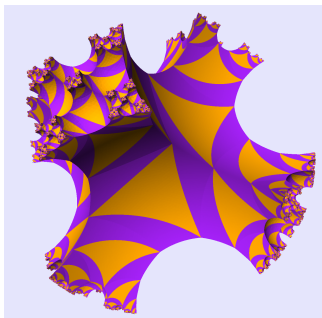
[JNS17] *Large covers and sharp resonances of hyperbolic surfaces*. arxiv:1710.05666

# Hyperbolic manifolds

Let  $\mathbb{H}^{n+1}$  be the usual real hyperbolic space with sectional curvatures  $-1$  and  $\Gamma$  a *discrete* group of isometries.

The orbit of any  $z \in \mathbb{H}^{n+1}$  under  $\Gamma$ -action accumulates at infinity, the limit set is defined by  $\Lambda(\Gamma) := \partial\mathbb{H}^{n+1} \cap \overline{\Gamma \cdot z}$ .

A discrete group  $\Gamma$ , without elliptic elements, is called *convex co-compact* iff the convex hull of the limit set is co-compact.



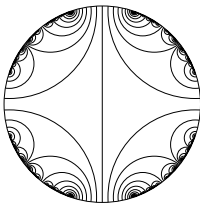


The quotient  $X = \Gamma \backslash \mathbb{H}^{n+1}$  is an *infinite volume* hyperbolic manifold called *convex co-compact*.

Let  $\delta = \delta(\Gamma)$  be the **Hausdorff dimension** of the limit set.

The *geodesic flow* on  $\phi_t : SX \rightarrow SX$  has a maximal compact invariant subset  $\mathcal{T} \subset SX$ , the "*trapped set*" with dimension  $2\delta + 1$ ,

$$\mathcal{T} \simeq (\Lambda \times \Lambda \setminus D) \times \mathbb{R} \text{ mod } \Gamma.$$



Liouville-almost all orbits of  $\phi_t$  escape to infinity i.e.  $\text{Vol}(\mathcal{T}) = 0$ .

# Laplace spectrum and resonances

Let  $\Delta_X$  be the hyperbolic *Laplacian* on  $X = \Gamma \backslash \mathbb{H}^{n+1}$ .

Lax-Phillips classical results describe the  $L^2$ -spectrum of  $\Delta_X$ :

1. Point spectrum is finite and in  $(0, n^2/4)$ .
2. Absolutely continuous spectrum is  $[n^2/4, +\infty)$ .
3. No embedded eigenvalues in  $[n^2/4, +\infty)$ .

If  $\delta > n/2$ , then  $\delta(n - \delta)$  is an eigenvalue, it's the bottom of the spectrum.

If  $\delta \leq n/2$ , point spectrum is empty.

Let

$$R(s) := (\Delta_X - s(n - s))^{-1} : L^2(X) \rightarrow L^2(X)$$

be the *resolvent*, meromorphic on the physical sheet  $\{\Re(s) > n/2\}$ .

From [Mazzeo-Melrose, 1987] we know that

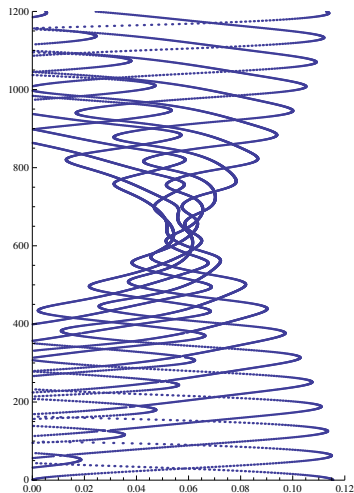
$$R(s) : C_0^\infty(X) \rightarrow C^\infty(X)$$

continues *meromorphically* to  $\mathbb{C}$ . Poles are called *resonances*. The structure of  $R(s)$  at a resonance  $s_0$  is given by a finite *Laurent* expansion

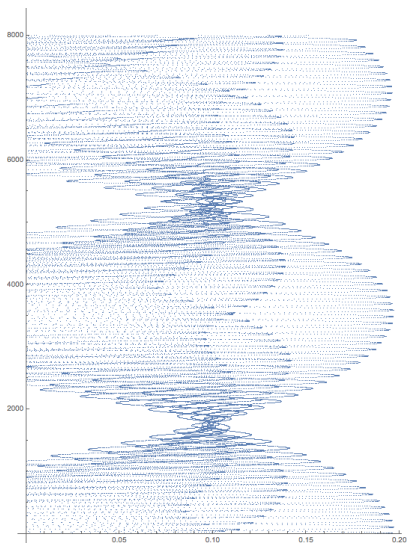
$$R(s) = \sum_j \frac{A_j(s_0)}{(s(n-s) - s_0(n-s_0))^j} + \text{Holomorphic},$$

where  $A_1(s_0) = \sum_{k=1}^{mult(s_0)} \phi_k \otimes \phi_k$ , with  $\Delta \phi_k = s_0(n-s_0)\phi_k$ . Each (non- $L^2$ ) eigenfunction  $\phi_k$  is called a *resonant state*.

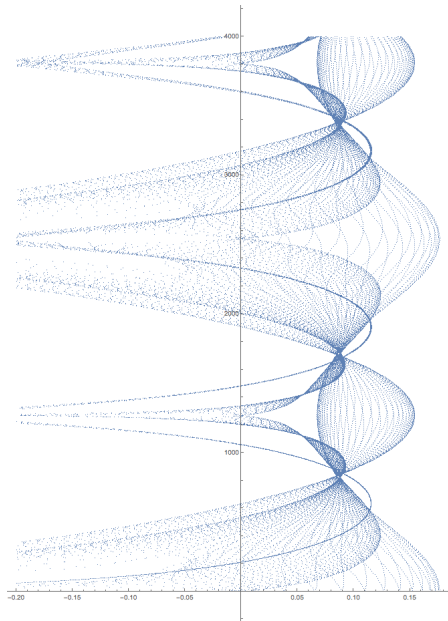
# Numerical computations for surfaces



*Numerics by D. Borthwick (2012)*

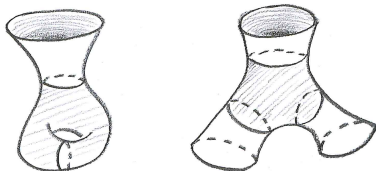


*Numerics by D. Borthwick & T. Weich (2017)*



## First resonance

From now on, we set  $n = 2$ , so that  $X = \Gamma \backslash \mathbb{H}^2$  is a surface.



**Theorem [Patterson: 1976, 1989].** For a convex co-compact quotient  $X = \Gamma \backslash \mathbb{H}^2$ , the first resonance is a simple pole at  $s = \delta$ , with no other resonances on  $\{\Re(s) = \delta\}$ , in particular  $\Delta_X$  has eigenvalues iff  $\delta > \frac{1}{2}$ .

**Theorem [Ballmann-Matthiesen-Mondal: 2016].** For a convex co-compact quotient  $X = \Gamma \backslash \mathbb{H}^2$ , the number of eigenvalues is at most  $-\chi(X)$ .

Proof generalizes results of Otal-Rosas for small eigenvalues on compact hyperbolic surfaces. Higher dimensions??

## Global upper bounds

**Theorem** [Guillopé-Zworski 1995, 1997] For  $X = \Gamma \backslash \mathbb{H}^2$ , let  $\mathcal{R}_X = \{\text{Resonances}\}$ . Then we have as  $t \rightarrow +\infty$ ,

$$\#\{s \in \mathcal{R}_X : |s - 1/2| < t\} \asymp t^2.$$

Higher dimensions: Upper bound [Patterson-Perry 2001, Cuevas-Vodev 2003, B 2008, Borthwick-Guillarmou 2016].  
Lower bound [Perry 2003, Borthwick 2008].

**Theorem** [Guillopé-Lin-Zworski 2006] For  $\sigma \leq \delta$ , set

$$n(\sigma, T) = \#\{s \in \mathcal{R}_X : \Re(s) \geq \sigma \text{ and } |\Im(s) - T| \leq 1\}.$$

Then for all  $\sigma$ , as  $T \rightarrow +\infty$ , we have

$$n(\sigma, T) = O_\sigma(T^\delta).$$

Extensions to all convex co-compact hyperbolic manifolds by [Dyatlov-Datchev 2013].



# Spectral gaps

**Theorem** ([Naud 2005, Bourgain-Dyatlov 2017])

There exists  $\epsilon(\Gamma) > 0$  such that  $\mathcal{R}_X \cap \{\delta - \epsilon \leq \Re(\mathbf{s}) \leq \delta\} = \{\delta\}$ .

Moreover, there exists  $\epsilon_0(\delta) > 0$  such that

$\mathcal{R}_X \cap \{\delta - \epsilon_0 \leq \Re(\mathbf{s}) \leq \delta\}$  is finite.

When  $\delta > 1/2$ , this statement follows readily from the discreteness of the spectrum below  $1/4$ .

**Theorem** [Bourgain-Dyatlov 2016]

There exists  $\epsilon_1 > 0$  such that  $\mathcal{R}_X \cap \{1/2 - \epsilon_1 \leq \Re(\mathbf{s})\}$  is finite.

**Conjecture** [JN12] (*Essential Spectral Gap*)

Set  $GAP(\Gamma) = \inf\{\sigma \in \mathbb{R} : \mathcal{R}_X \cap \{\sigma \leq \Re(\mathbf{s})\} \text{ is finite}\}$ . Then  $GAP(\Gamma) = \delta/2$ .

If  $\Gamma$  is cofinite, then we know (Selberg) that indeed

$GAP(\Gamma) = \delta/2 = 1/2$ . All previous results support the conjecture (or at least are consistent with it).

**Lower bounds:** Guillopé, Zworski:  $\forall \epsilon > 0, \exists C_\epsilon > 0$ , such that

$$N_{C_\epsilon}(T) = \Omega(T^{1-\epsilon}).$$

The proof uses a wave trace formula for resonances on  $X$  and takes into account contributions from a *single* closed geodesic on  $X$ .

**Question:** Can one improve lower bounds taking into account contributions from *many* closed geodesics on  $X$ ?

**Answer:** Yes, [JN10].

Guillopé, Lin, Zworski: let

$$\mathcal{D}(z) = \{\lambda \in \mathcal{R}_X : |\lambda - z| \leq 1\}$$

Then for all  $z : \Im(z) \leq C$ , we have  $\mathcal{D}(z) = O(|\Re(z)|^\delta)$ .

Let  $A > 0$ , and let  $W_A$  denote the logarithmic neighborhood of the real axis:

$$W_A = \{\lambda \in \mathbb{C} : \Im \lambda \leq A \log(1 + |\Re \lambda|)\}$$

**Theorem 5.** Let  $X$  be a geometrically finite hyperbolic surface of infinite area, and let  $\delta > 1/2$ . Then there exists a sequence  $\{z_i\} \in W_A, \Re(z_i) \rightarrow \infty$  such that

$$|\mathcal{D}(z_i)| \geq (\log |\Re(z_i)|)^{\frac{\delta-1/2}{\delta}-\epsilon}.$$

**Corollary:** If  $\delta > 1/2$ , then  $W_A \cap \mathcal{R}_X$  is different from a lattice. Examples of  $\Gamma$  such that  $\delta(\Gamma) > 1/2$  are easy to construct. Pignataro, Sullivan: fix the topology of  $X$ . Denote by  $l(X)$  the maximum length of the closed geodesics that form the boundary of  $N$ . Then  $\lambda_0(X) \leq C(X)l(X)$ , where  $C = C(X)$  depends only on the topology of  $X$ . By Patterson-Sullivan,  $\lambda_0 < 1/4 \Leftrightarrow \delta > 1/2$ , so letting  $l(X) \rightarrow 0$  gives many examples. Proof of Theorem 5 uses (a version of Selberg) trace formula due to Guillopé and Zworski, and Dirichlet box principle.

Theorem 5 gives a *logarithmic* lower bound

$\mathcal{D}(z_i) \geq (\log |\Re(z_i)|)^{\frac{\delta-1/2}{\delta}-\epsilon}$  for an infinite sequence of disks  $D(z_i, 1)$ . Conjecture of Guillopé and Zworski would imply that  $\forall \epsilon > 0 \exists \{z_i\}$  such that  $\mathcal{D}(z_i) \geq |\Re(z_i)|^{\delta-\epsilon}$ .

**Question:** can one get *polynomial* lower bounds for some particular groups  $\Gamma$ ?

**Answer:** Yes. **Idea:** look at infinite index subgroups of arithmetic groups, and use methods of Selberg-Hejhal.

**Theorem 6.** Let  $\Gamma$  be an infinite index geom. finite subgroup of an arithmetic group  $\Gamma_0$  derived from a quaternion algebra. Let  $\delta(\Gamma) > 3/4$ . Then  $\forall \epsilon > 0, \forall A > 0$ , there exists  $\{z_i\} \subset W_A, \Re(z_i) \rightarrow \infty$ , such that

$$\mathcal{D}(z_i) \geq |\Re(z_i)|^{2\delta-3/2-\epsilon}.$$

## Key ideas: arithmetic case.

Number of closed geodesics on  $X$ :

$$\#\{\gamma : l(\gamma) < T\} \sim \frac{e^{\delta T}}{\delta T}, \quad T \rightarrow \infty.$$

Number of *distinct* closed geodesics in the arithmetic case: for  $\Gamma$  derived from a quaternion algebra, one has

$$\#\{L < T : L = l(\gamma)\} \ll e^{T/2}.$$

Accordingly, for  $\delta > 1/2$ , there exists *exponentially large* multiplicities in the length spectrum.

Distinct lengths are well-separated in the arithmetic case: for  $l_1 \neq l_2$ , we have

$$|l_1 - l_2| \gg e^{-\max(l_1, l_2)/2}.$$

Ex:  $M_1, M_2 \in \mathrm{SL}(2, \mathbb{Z})$ ,  $\mathrm{tr}M_1 \neq \mathrm{tr}M_2$  then

$$|\mathrm{tr}M_1 - \mathrm{tr}M_2| = 2|\cosh(l_1/2) - \cosh(l_2/2)| \geq 1.$$

We also use a trace formula (Guillopé, Zworski): Let  $\psi \in C_0^\infty((0, +\infty))$ , and  $N$  - Nielsen region. Then (in case there are no cusps)

$$\sum_{\lambda \in \mathcal{R}_X} \widehat{\psi}(\lambda) = -\frac{V(N)}{4\pi} \int_0^{+\infty} \frac{\cosh(t/2)}{\sin^2(t/2)} \psi(t) dt$$

$$+ \sum_{\gamma \in \mathcal{P}} \sum_{k \geq 1} \frac{l(\gamma) \psi(kl(\gamma))}{2 \sinh(kl(\gamma)/2)},$$

where  $\mathcal{P} = \{\text{primitive closed geodesics on } X\}$ .

It follows from a recent result of Lewis Bowen that in every co-finite or co-compact arithmetic Fuchsian group, one can find infinite index convex co-compact subgroups with  $\delta$  arbitrarily close to 1 (and in particular  $> 3/4$ ). A. Gamburd considered infinite index subgroups of  $SL_2(\mathbb{Z})$  and constructed subgroups  $\Lambda_N$  such that  $\delta(\Lambda_N) \rightarrow 1$  as  $N \rightarrow \infty$ . It was shown in [JN10] that subgroups  $\Gamma_N$  of  $\Lambda_N$  (of index two) provide examples of “arithmetic” groups with  $\delta(\Gamma_N) > 3/4$  for large enough  $N$ . Related questions were also considered by Bourgain and Kantorovich.

The results of [JN10] can probably be generalized to hyperbolic 3-manifolds.



## Existence of sharp resonances

Sharp resonances are non-trivial resonances (other than  $\delta$ ) that are the closest to  $\Re(\mathbf{s}) = \min\{1/2, \delta\}$ .

**Theorem** [Guillopé-Zworski 1999] For  $\sigma \leq \delta$ , set

$$N(\sigma, T) = \#\{\mathbf{s} \in \mathcal{R}_X : \Re(\mathbf{s}) \geq \sigma \text{ and } |\Im(\mathbf{s})| \leq T\}.$$

Then for all  $\epsilon > 0$ , one can find  $\sigma_\epsilon$  such that

$$N(\sigma_\epsilon, T) = \Omega(T^{1-\epsilon}).$$

## Theorem ([JN12])

For all  $\epsilon > 0$ ,  $\mathcal{R}_X \cap \{\delta/2 - \delta^2 - \epsilon \leq \Re(\mathbf{s})\}$  is *infinite*. If in addition  $\Gamma$  is arithmetic with  $\delta > 1/2$  then there are infinitely many resonances in

$$\{\Re(\mathbf{s}) \geq \delta/2 - 1/4 - \epsilon\}.$$

## Large Galois covers

Let  $\Gamma$  be a fixed convex co-compact Fuchsian group. Any normal subgroup  $\Gamma_j \subset \Gamma$  with finite index yields a Galois cover

$$\Gamma_j \backslash \mathbb{H}^2 \rightarrow \Gamma \backslash \mathbb{H}^2$$

with Galois group  $G_j = \Gamma / \Gamma_j$ . Limit sets of  $\Gamma_j$  are the same:  $\Lambda(\Gamma_j) = \Lambda(\Gamma)$ .

**Theorem 7 [Jakobson-Naud 2016]** Assume that we have a family  $\Gamma_j$  as above with  $|G_j| \rightarrow \infty$ . Let **Res** $_j$  denote resonances of  $X_j := \Gamma_j \backslash \mathbb{H}^2$ , then for all  $\epsilon, \tilde{\epsilon} > 0$ , as  $j \rightarrow \infty$  we have

$$C_\epsilon^{-1} |G_j| \leq \#\mathbf{Res}_j \cap \{|s| \leq \log^{1+\epsilon}(\log |G_j|)\} \leq |G_j|^{1+\tilde{\epsilon}}.$$

Examples: congruence subgroups given by:

$$\Gamma \subset SL_2(\mathbb{Z}), \Gamma_p = \{\gamma \in \Gamma : \gamma \equiv Id \pmod{p}\},$$

but also *abelian covers* where  $G_j$  is a sequence of finite Abelian groups (ex: cyclic covers).

Related work for covers of **compact** manifolds:

H. Huber, *On the spectrum of the Laplace operator on compact Riemann surfaces*, Comm. Math. Helv. 57 (1982), 627-647.

R. Brooks, *The spectral geometry of a tower of coverings*. JDG 23:97-107, 1986. D. L. de George and N. R. Wallach. *Limit formulas for multiplicities in  $L^2(\Gamma \backslash G)$* . Ann. of Math. 107:133-150, 1978.

H. Donnelly. *On the spectrum of towers*. Proc. Amer. Math. Soc. 87:322-329, 1983.

E. Le Masson and T. Sahlsten. *Quantum ergodicity and Benjamini-Schramm convergence of hyperbolic surfaces*. arXiv:1605.05720v3

Abert, Bergeron et al: *On the growth of  $L^2$ -invariants for sequences of lattices in Lie groups*. Ann. Math. 185:711-790, 2017.

These results say (in the simplest form) that if  $X_j$  is a sequence of compact hyperbolic surfaces with  $\text{Inj}(X_j) \rightarrow \infty$ , then for any compact interval  $I \subset (1/4, +\infty)$ ,

$$\#\mathbf{Sp}_j \cap I \sim C_I \text{Vol}(X_j),$$

where  $C_I$  is some "explicit" constant depending only on  $I$ .

**Abelian Covers** Here we assume that  $\Gamma_j \triangleleft \Gamma$  is given by

$$\Gamma_j = \text{Ker}(\varphi_j),$$

where  $\varphi_j : \Gamma \rightarrow G_j$  is an onto morphism, with abelian image  $G_j$ .  
The corresponding surface is denoted by

$$X_j := \Gamma_j \backslash \mathbb{H}^2.$$

*Basic example (cyclic covers).* We have the identity

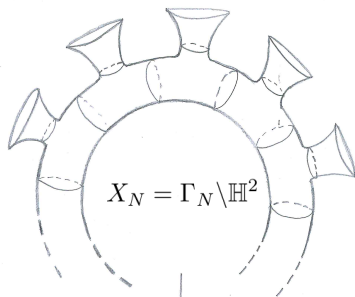
$$\Gamma^{ab} \simeq H^1(X, \mathbb{Z}) \simeq \mathbb{Z}^r,$$

for some  $r \geq 2$ . Then  $\Pi_N : \mathbb{Z}^r \rightarrow \mathbb{Z}/N\mathbb{Z}$  given by

$$\Pi_N(x_1, \dots, x_r) = x_1 \bmod N,$$

produces a normal subgroup  $\Gamma_N \triangleleft \Gamma$  such that the covering group is  $\mathbb{Z}/N\mathbb{Z}$ .

# A picturesque view of cyclic covers



$$\pi_{\mathbf{G}} : \mathbf{G} = \Gamma / \Gamma_N \simeq \mathbb{Z} / N\mathbb{Z}$$



$$X = \Gamma \setminus \mathbb{H}^2$$

In the abelian case, sharp resonances/low eigenvalues equidistribute near  $\delta$ .

**Theorem 8 [JNS17]** Assume that  $\Gamma$  is non elementary. Assume that  $G_j$  is Abelian, and  $|G_j| \rightarrow \infty$ . Then up to sequence extraction, there exists a neighbourhood  $\mathcal{U} \ni \delta$  such that for all  $j$  large,  $\mathbf{Res}(X_j) \cap \mathcal{U} \subset \mathbb{R}$  and for all  $\varphi \in C_0(\mathcal{U})$ ,

$$\lim_{j \rightarrow \infty} \frac{1}{|G_j|} \sum_{\lambda \in \mathbf{Res}(X_j)} \varphi(\lambda) = \int \varphi d\mu,$$

where  $\mu$  is an absolutely continuous measure supported on an interval  $[a, \delta]$ , for some  $a < \delta$ .

In the *compact* and finite area case, Randol (1974) and Selberg showed that by moving to a finite abelian cover, one can have many low eigenvalues in  $[0, 1/4]$  as wanted.

Theorem 8 is a quantitative version of that, which works for resonances!

A related result:

**Theorem 8 $\frac{3}{4}$ :** Let  $X = \Gamma \backslash \mathbb{H}^2$  have at least one cusp. Assume that  $G_j$  is Abelian, and  $|G_j| \rightarrow \infty$ . Then for all  $\epsilon > 0$ , there exists  $j > 0$  s.t.  $X_j = \Gamma_j \backslash \mathbb{H}^2$  has at least one nontrivial resonance  $s$  with  $|\delta - s| \leq \epsilon$ .



## Congruence covers

Here we have

$$\Gamma \subset SL_2(\mathbb{Z}), \Gamma_p = \{\gamma \in \Gamma : \gamma \equiv Id \pmod{p}\},$$

and for all  $p$  large enough prime number, we have

$$\Gamma/\Gamma(p) \simeq SL_2(\mathbb{F}_p).$$

**Low eigenvalues and sharp resonances** exhibit some rigidity when  $|G_j| \rightarrow \infty$ .

[Gamburd, 2002]. If  $\Gamma \subset SL_2(\mathbb{Z})$  is a finitely generated subgroup and  $\delta > 5/6$  then for all  $p$  large,

$$\mathbf{Res}(\Gamma_p \backslash \mathbb{H}^2) \cap \{\Re(s) > 5/6\} = \mathbf{Res}(\Gamma \backslash \mathbb{H}^2) \cap \{\Re(s) > 5/6\}.$$

[Oh-Winter, 2016]. If  $\Gamma \subset SL_2(\mathbb{Z})$  is a finitely generated subgroup, then there exists  $\epsilon(\Gamma)$  such that for all  $p$  large

$$\mathbf{Res}(\Gamma_p \backslash \mathbb{H}^2) \cap \{\Re(s) > \delta - \epsilon\} = \mathbf{Res}(\Gamma \backslash \mathbb{H}^2) \cap \{\Re(s) > \delta - \epsilon\}$$

$$= \{\delta\}.$$

All of these results can be seen as part of a **Generalized Selberg's conjecture**.

**Conjecture** For all finitely generated subgroups  $\Gamma$  of  $SL_2(\mathbb{Z})$ , for all  $\epsilon > 0$  we have for all  $p$  large,

$$\mathbf{Res}(\Gamma_p \backslash \mathbb{H}^2) \cap \{\Re(\mathbf{s}) > \delta/2 + \epsilon\} = \mathbf{Res}(\Gamma \backslash \mathbb{H}^2) \cap \{\Re(\mathbf{s}) > \delta/2 + \epsilon\}.$$

**Theorem 9 [Jakobson-Naud 2016]** There exists a computable  $\alpha(\Gamma) > 0$  such that for all  $\sigma \geq \delta/2$ , we have

$$\#\mathbf{Res}_j \cap \{\Re(\mathbf{s}) \geq \sigma \text{ and } |\Im(\mathbf{s})| \leq T\} \leq C_T |G_p|^{1+(\delta-2\sigma)\alpha(\Gamma)},$$

here  $G_p = \Gamma/\Gamma_p \simeq SL_2(\mathbb{F}_p)$ , and  $|G_p| \asymp p^3$ .

**Theorem 10** [Jakobson-Naud 2017] Let  $\Gamma \subset SL_2(\mathbb{Z})$  be a convex co-compact subgroup and  $\delta > 3/4$ . Let  $\mathbf{Res}_p$  denote the resonances of  $X_p := \Gamma_p \backslash \mathbb{H}^2$ . We set

$$N_\epsilon(p) := \#\mathbf{Res}_p \cap \left\{ |\Im(s)| \leq \log^{1+\epsilon}(\log p) \text{ and } \Re(s) > \delta - \frac{3}{4} - \epsilon \right\}.$$

Then for all  $\epsilon > 0$ , for all  $\tilde{\epsilon} > 0$ , as  $p \rightarrow \infty$  we have for large  $p$ .

$$\frac{p-1}{2} \leq N_\epsilon(p) \leq p^{3+\tilde{\epsilon}}.$$

Remark:

$$0\text{-Vol}(X_p) \asymp \chi(X_p) \asymp |G_p| \asymp p^3$$

*Extensions of Theorem 10 to more general "arithmetic covers" (arising from quaternion algebras) are doable.*