#### On local spectra preserver problems

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#### On local spectra preserver problems The spectrum

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#### 4 Local spectra preservers

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Definitions and basic properties

### Spectrum in Banach algebras

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Definitions and basic properties

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Definitions and basic properties

#### The spectral radius and the norm

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- If a and b commute, then

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Kaplansky's problem Variants of Kaplansky's problem Spectral isometries Results

# Kaplansky's problem ('70)

• Let  $\mathcal{A}$  and  $\mathcal{B}$  be semisimple Banach algebras and  $\phi: \mathcal{A} \to \mathcal{B}$  linear, unital and surjective such that

$$\sigma(\phi(x)) \subseteq \sigma(x) \quad (\forall x \in \mathcal{A}). \tag{1}$$

Does-it follow that  $\phi$  is a Jordan morphism, that is

$$\phi(x^2) = \phi(x)^2 \quad (\forall x \in \mathcal{A})?$$

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Kaplansky's problem Variants of Kaplansky's problem **Spectral isometries** Results

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The case of algebras having finite-dimensional representations

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Definitions The spectrum and the local spectrum

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• The local spectral radius of T at x is defined by

$$r_T(x) = \limsup_{k \to \infty} ||T^k(x)||^{1/k}.$$

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For *T* ∈ *M<sub>n</sub>* and denote by *λ*<sub>1</sub>, ..., *λ<sub>k</sub>* the distinct eigenvalues of *T* and by *N*<sub>1</sub>, ..., *N<sub>k</sub>* the corresponding root spaces. We have C<sup>n</sup> = *N*<sub>1</sub> ⊕ ··· ⊕ *N<sub>k</sub>* and *T* = *T*<sub>1</sub> ⊕ ··· ⊕ *T<sub>k</sub>*, where *T<sub>j</sub>* is the restriction of *T* to *N<sub>j</sub>*. Let *P<sub>j</sub>* : C<sup>n</sup> → *N<sub>j</sub>* ⊆ C<sup>n</sup>, *j* = 1, ..., *k*, denote the canonical projections. Then

$$\sigma_{T}(x) = \bigcup_{1 \le j \le k} \{\lambda_{j} : P_{j}(x) \neq 0\}.$$

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# The single-valued extension property

An operator T ∈ L(X) is said to have the SVEP at a point λ<sub>0</sub> ∈ C if for every neighbourhood U of λ<sub>0</sub> the only analytic function h : U → X which satisfies the equation (T − λI) h(λ) = 0 on U is the trivial one.

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- If *T* has the SVEP and *x* is a nonzero vector in *X*, then *σ*<sub>T</sub> (*x*) is not empty.
- Any *T* ∈ *L*(*X*) for which its point spectrum has empty interior has SVEP.

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A first result Preservers of local spectrum/spectral radius An automatic continuity problem Preservers of local spectral radius zero

#### Local spectra preserver

 Theorem (A. Bourhim and T. Ransford, Int. Eq. Oper. Th., 2005) Let φ : L(X) → L(X) be an additive map such that

$$\sigma_{\varphi(T)}(x) = \sigma_T(x) \qquad (T \in \mathcal{L}(X); \ x \in X).$$

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A first result Preservers of local spectrum/spectral radius An automatic continuity problem Preservers of local spectral radius zero

# Preservers of local spectral radius

 Theorem (J. Bračič and V. Müller, Studia Math. 2009) Let φ : L(X) → L(X) be a continuous surjective linear map and x<sub>0</sub> ≠ 0 in X.

i) If

$$\sigma_{\varphi(T)}(x_0) = \sigma_T(x_0) \qquad (T \in \mathcal{L}(X)),$$

there exists an invertible  $A \in \mathcal{L}(X)$  such that  $Ax_0 = x_0$  and

$$\varphi(T) = ATA^{-1}$$
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ii) If

$$r_{\varphi(T)}(x_0) = r_T(x_0)$$
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there exists an invertible  $A \in \mathcal{L}(X)$  and an unimodular complex constant c such that  $Ax_0 = x_0$  and

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## Preservers of local spectrum/spectral radius

Ideea: the set of all operators *T* ∈ *L*(*X*) such that the surjectivity spectrum of *T* coincides with *σ*<sub>T</sub> (*x*<sub>0</sub>) is dense in *L*(*X*). As a corollary, we obtain that the set of all *T* ∈ *L*(*X*) such that *r*<sub>T</sub> (*x*<sub>0</sub>) = *ρ*(*T*) is also dense. Then using the continuity hypothesis we have *ρ*(*φ*(*T*)) = *ρ*(*T*) for all *T* ∈ *L*(*X*). The surjective spectral isometries of *L*(*X*) are of a standard form!

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A first result Preservers of local spectrum/spectral radius An automatic continuity problem Preservers of local spectral radius zero

## Preservers of local spectral radius

 Theorem (-, 2010) Let x<sub>0</sub> ∈ X be a fixed non-zero vector, and let φ : L(X) → L(X) be a linear surjective map for which there exists M > 0 such that

$$r_{\varphi(T)}(x_0) \leq Mr_T(x_0) \qquad (T \in \mathcal{L}(X)).$$

Then  $\varphi$  is automatically continuous.

A first result Preservers of local spectrum/spectral radius An automatic continuity problem Preservers of local spectral radius zero

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(-, 2012) Let φ : L(X) → L(X) be linear and surjective such that for every x ∈ X we have

$$r_{T}(x) = 0$$
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There exists then a nonzero complex number c such that  $\varphi(T) = cT$  for every  $T \in \mathcal{L}(X)$ .

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The automatic continuity problem: revisited Preservers of inner/outer local spectral radius Maps preserving matrices of local spectral radius zero at some fixed Additive maps preserving matrices of inner local spectral radius zero

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# Some new definitions

For a closed subset F ⊆ C and an operator T ∈ L(X), we defined the glocal spectral subspace of T as

$$\chi_T(F) = \{x \in X : (T - \lambda I)f(\lambda) = x \text{ has an analytic sol. on } \mathbf{C} \setminus F\}$$

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$$i_{\mathcal{T}}(x) = \sup\{r \geq 0 : x \in \chi_{\mathcal{T}}(\mathbf{C} \setminus D(0; r))\}.$$

The automatic continuity problem: revisited Preservers of inner/outer local spectral radius Maps preserving matrices of local spectral radius zero at some fixed Additive maps preserving matrices of inner local spectral radius zero

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with the convention that  $\Gamma_T(x) = -\infty$  and  $\gamma_T(x) = +\infty$  precisely when  $\sigma_T(x)$  is empty.

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The automatic continuity problem: revisited Preservers of inner/outer local spectral radius Maps preserving matrices of local spectral radius zero at some fixed Additive maps preserving matrices of inner local spectral radius zero

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#### Automatic continuity

Theorem (-, 2017). Fix a nonzero vector x<sub>0</sub> ∈ X and let φ : L(X) → L(X) be a linear surjective map such that

$$\Gamma_{\varphi(T)}(x_0) \leq \rho(T)$$
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Corollary. Let φ : L(X) → L(X) be a linear surjective map such that

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The automatic continuity problem: revisited Preservers of inner/outer local spectral radius

Maps preserving matrices of local spectral radius zero at some fixed Additive maps preserving matrices of inner local spectral radius zero

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Preservers of inner/outer local spectral radius

Theorem (-, 2018). Let φ : L(X) → L(X) be a linear surjective map such that

 $\sigma_{\varphi(T)}(x) \cap \sigma_{T}(x) \neq \emptyset$ 

for each  $T \in \mathcal{L}(X)$  and  $x \in X$  such that at least one of the above sets is nonempty. Then  $\varphi$  is the identity of  $\mathcal{L}(X)$ .

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The automatic continuity problem: revisited Preservers of inner/outer local spectral radius

Maps preserving matrices of local spectral radius zero at some fixed Additive maps preserving matrices of inner local spectral radius zero

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The automatic continuity problem: revisited Preservers of inner/outer local spectral radius

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#### Preservers on matrices

Theorem (M. González and M. Mbekhta, Linear Algebra Appl., 2007). Fix a nonzero vector x<sub>0</sub> ∈ C<sup>n</sup> and let φ : M<sub>n</sub> → M<sub>n</sub> be a linear map such that

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There exists then an invertible matrix  $A \in \mathcal{M}_n$  such that  $Ax_0 = x_0$  and

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Maps preserving matrices of local spectral radius zero at some fixed vector

Theorem (A. Bourhim and -, 2018). For a nonzero fixed vector x<sub>0</sub> ∈ C<sup>2</sup>, a linear map φ on M<sub>2</sub> satisfies

$$r_{\mathcal{T}}(x_0) = 0 \iff r_{\varphi(\mathcal{T})}(x_0) = 0 \qquad (\mathcal{T} \in \mathcal{M}_2)$$

if and only if there exists a nonzero scalar  $\alpha$ , an invertible matrix  $U \in \mathcal{M}_2$  for which  $Ux_0 = x_0$  and a matrix  $Q \in \mathcal{M}_2$  satisfying  $Qx_0 = 0$  and  $tr(Q) \neq -1$  such that

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Maps preserving matrices of local spectral radius zero at some fixed vector

Theorem (A. Bourhim and -, 2018). Let n ≥ 3 be a natural number, and fix a nonzero vector x<sub>0</sub> ∈ C<sup>n</sup>. A linear map φ : M<sub>n</sub> → M<sub>n</sub> satisfies

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Maps preserving matrices of local spectral radius zero at some fixed vector

Theorem (A. Bourhim and -, 2018). Let n ≥ 3 be a natural number, and fix a nonzero vector x<sub>0</sub> ∈ C<sup>n</sup>. A linear map φ : M<sub>n</sub> → M<sub>n</sub> satisfies

$$r_{T}(x_{0}) = 0 \iff r_{\varphi(T)}(x_{0}) = 0 \qquad (T \in \mathcal{M}_{n})$$

if and only if there exists a nonzero scalar  $\alpha$  and an invertible matrix  $U \in \mathcal{M}_n$  such that  $Ux_0 = x_0$  and

$$\varphi(T) = \alpha U T U^{-1}$$

for all  $T \in \mathcal{M}_n$ .

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Maps preserving matrices of inner local spectral radius zero at some fixed vector

Theorem (-, 2018). Let n ≥ 2 be a natural number. Let x<sub>0</sub> ∈ C<sup>n</sup> be a fixed nonzero vector and let φ : M<sub>n</sub> → M<sub>n</sub> be a surjective additive map. Then

$$i_{\mathcal{T}}(x_0) = 0 \Longrightarrow i_{\varphi(\mathcal{T})}(x_0) = 0 \qquad (\mathcal{T} \in \mathcal{M}_n) \qquad (5)$$

if and only if there exist a nonzero c, a field automorphism  $\eta : \mathbf{C} \to \mathbf{C}$ , an invertible matrix  $A \in \mathcal{M}_n$  satisfying  $A(x_0^{\eta}) = x_0$  and a vector  $f \in \mathbf{C}^n$  satisfying  $f^t x_0 \neq 1$  such that  $\varphi(T) = cA(T - x_0 f^t T)^{\eta} A^{-1}$   $(T \in \mathcal{M}_n)$ . (6)

We arrive at the same conclusion by supposing

$$i_{\varphi(T)}(x_0) = 0 \Rightarrow i_T(x_0) = 0$$
  $(T \in \mathcal{M}_n)$  (7)

instead of (5).

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Theorem (-, 2018). Let n ≥ 2 be a natural number. Let x<sub>0</sub> ∈ C<sup>n</sup> be a fixed nonzero vector and let φ : M<sub>n</sub> → M<sub>n</sub> be a surjective additive map. Then

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The automatic continuity problem: revisited Preservers of inner/outer local spectral radius Maps preserving matrices of local spectral radius zero at some fixed Additive maps preserving matrices of inner local spectral radius zero

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# **Thank You!**

C. Costara On local spectra preserver problems

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